

# Postgraduate Diploma in Bank and Insurance Compliance

AC168A

銀行及  
保險規範  
深造文憑  
(研究生文憑)



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资历架构  
Qualifications  
Framework

QF Level: 6  
QR Registration No.: 16/000338/L6  
Registration Validity Period: From 17 May 2016 - on-going



**12-month full-time study in Hong Kong to get your “Postgraduate Diploma in Bank and Insurance Compliance” award within the HKU system through HKU SPACE.**

在香港为期12个月的全日制研究生学习，通过香港大学专业进修学院（HKU SPACE），获得香港大学学历体系的银行及保险规范深造文凭（研究生文凭）。



## Programme Objective

On completion of six modules, students should be able to:

1. Comply with rules and regulations to minimize potential fraud in banking and insurance sectors;
2. Interpret and apply the anti-money laundering rules and policy to business operations; and
3. Evaluate the impact of Big Data, AI, Fintech and Insurtech on compliances of corporations.

## Target Students

This programme is suitable for business managers or executives who would like to know more about compliance in bank and insurance sectors. These managers can learn the core knowledge, standards, and best practices in compliance from the programme and apply to their workplace. As a result, it can give them a competitive edge over their colleagues and help them advance in their career path.

## Class Modules

This programme offers six modules in two intakes, duration of each ranging from 33 to 36 contact hours:

1. Compliance in Banking Sector
2. Compliance in Insurance Sector
3. Internal Controls - Concepts and Issues
4. Anti-money Laundering — Practices and Issues
5. Impact of Artificial Intelligence and Big Data on Compliance and Risk Management
6. Compliance for Fintech and Insurtech

## Common Enrichment Modules (CEM)

In addition to the credit-bearing modules, students have the unique opportunity to engage in non-credit bearing Common Enrichment Modules (CEM) alongside peers from other Postgraduate Diploma (PgDip) programmes. These CEMs encompass a diverse range of subjects, including leadership development, communication skills, business and academic writing, and applications of AI and ESG. By participating in these modules, students can expand their knowledge and skillsets, gaining valuable learning experiences that will better prepare them for their future careers.

## 课程目的

完成六个单元后，学生应能：

1. 遵守规范，减少银行和保险业内发生诈骗的潜在风险；
2. 理解并在业务中运用反洗钱规则和政策；以及
3. 评估大数据、人工智能、金融科技和保险科技对企业规范的影响。

## 目标学生

本课程适合希望了解银行及保险业规范的企业经理或高层管理人员。参加者可以从课程中学习规范的核心知识，标准及最佳实务，并应用于工作中。从此，他们在职场上更具竞争优势，有助未来发展。

## 课程单元

本课程提供六个单元，分两期入学，每单元时长为 33 至 36 个课时：

1. 保险业规范
2. 银行业规范
3. 内部监控 – 概念及议题
4. 打击洗钱实务及议题
5. 人工智能及大数据对规范与风险管理的影响
6. 金融及保险科技规范

## 综合能力增益单元 (CEM)

全日制深造文凭学生除修读所属课程的学分制科目单元外，还可与其他同属全日制深造文凭课程的同学一起参加非学分制的“综合能力增益单元” (CEM)。这些科目涵盖多元学科领域，包括领导能力发展、沟通技巧、商务英语及学术英语写作、人工智能 (AI) 应用以及绿色和可持续治理 (ESG) 培训等。通过修读这些科目，学生可拓展知识储备、提升技能水平，获得宝贵的学习经验，为未来职业发展奠定更坚实的基础。



## Programme Specific Activities

During the study period, students will have the opportunity to participate in programme-specific activities such as field trips and career talks, all tailored to their individual needs. These activities are designed to foster stronger connections with the industry and provide students with invaluable hands-on experiences in the real business world. This exposure will not only help students set goals that align with their interests and aspirations but also facilitate their future career plans, ensuring they are well-prepared for their professional journeys.

## Programme Arrangements

- Three modules in each semester
- Two semesters in each year (June - October & November - March)
- Normal duration of study is 12 months
- All modules are conducted face-to-face at HKU SPACE learning centres

## Tuition Fee and Application Fee

- HKD120,000 per programme (The tuition fee is reviewed annually and is subject to change without prior notice)
- Application Fee: HKD600 (separate fees to be paid for student visa applications)

## How to Apply

- Apply online at programme website, or send completed application form and supporting documents to programme team at [pgdbicft@hkuspace.hku.hk](mailto:pgdbicft@hkuspace.hku.hk)
- Application deadline: 15 March for June intake, 15 September for November intake (subject to change; applicants are encouraged to apply early as admission offer is granted at on-going basis)

## Enquiry

[pgdbicft@hkuspace.hku.hk](mailto:pgdbicft@hkuspace.hku.hk)  
or call 2867 8317

## 课程专属活动

在修读期间，全日制深造文凭学生将有机会参加一系列根据学生个人需求量身定制的课程专属活动，包括实地考察及职业讲座等。这些活动旨在加强行业联系，并提供真实的商业实战经验。通过参与活动，学生不仅能确立符合自身兴趣与职业志向的发展方向，更能为未来职业生涯做好充分准备，实现从学术到职场的顺利过渡。

## 课程安排

- 每学期三个课程单元
- 每年两个学期 (六月至十月及十一月至三月)
- 修业期为12个月
- 所有课程单元均于香港大学专业进修学院 (HKU SPACE) 中心面授

## 学费及申请费

- 学费：港币120,000元 (学费每年审核，如有更改恕不另行通知)
- 申请费：港币600元 (学生签证申请需另行支付费用)

## 如何申请

- 可于课程网站线上申请，或将填好的申请表及相关文件电邮至课程组  
[pgdbicft@hkuspace.hku.hk](mailto:pgdbicft@hkuspace.hku.hk)
- 申请截止日期：
- 六月入学为3月15日，十一月入学为9月15日 (因情况而有可能作出更改；建议申请人尽早申请，学额满时，将暂停该学期录取)

## 查询

[pgdbicft@hkuspace.hku.hk](mailto:pgdbicft@hkuspace.hku.hk)  
或致电 2867 8317



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2022-2028

HKU SPACE was awarded the ASIIN Institutional Accreditation seal for its quality management in teaching and learning.

